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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/344,323	06/24/1999	RICHARD G. HARTMANN	EN998070 8931	
75	90 07/29/2002			
SHELLEY M BECKSTRAND P C			EXAMINER	
314 MAIN STR OWEGO, NY			NGUYEN, HAI V	
			ART UNIT	PAPER NUMBER
			2152	8
			DATE MAILED: 07/29/2002	, 0

Please find below and/or attached an Office communication concerning this application or proceeding.



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	Application No.	Applicant(s)					
Office Action Summany	09/344,323	HARTMANN ET AL.					
Office Action Summary	Examiner	Art Unit					
The MAILING DATE of this communication and	Hai V. Nguyen	2152					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 03 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).  Status							
1) Responsive to communication(s) filed on 13 h	May 2002						
2a)☐ This action is <b>FINAL</b> . 2b)⊠ Thi	is action is non-final.						
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is							
closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. <b>Disposition of Claims</b>							
4)⊠ Claim(s) <u>1-17</u> is/are pending in the application.							
4a) Of the above claim(s) is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-17</u> is/are rejected.							
	7) Claim(s) is/are objected to.						
8) Claim(s) are subject to restriction and/or election requirement.							
Application Papers							
9) The specification is objected to by the Examiner.							
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.							
If approved, corrected drawings are required in reply to this Office action.							
12) The oath or declaration is objected to by the Examiner.							
Priority under 35 U.S.C. §§ 119 and 120							
13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).							
a) ☐ All b) ☐ Some * c) ☐ None of:							
1. Certified copies of the priority documents have been received.							
2. Certified copies of the priority documents have been received in Application No							
<ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>							
14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).							
a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.							
Attachment(s)	- p	· without off the fi					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s)	5) Notice of Informal	y (PTO-413) Paper No(s) Patent Application (PTO-152)					

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### **DETAILED ACTION**

- 1. This Action is in response to the information received on 13 May 2002.
- 2. Claims 1-17 are presented for examination.

### Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 1-17 are rejected under 35 U.S.C. 102(b) as being unpatentable over Albers et al. (US 6,223,188 B1) in view of Tso et al. (US 6,185,625 B1).
- 5. As to claim 1, Albers, Presentation Of Link Information As an Aid TO Hypermedia Navigation, discloses a method for operating a server responsive to a request for data from a client browser, comprising the steps of: receiving from said browser a HEAD request for the header of a data file (Albers, col. 1, lines 41-67; col. 2, lines 1-51; col. 5, lines 6-54); responsive to said HEAD request, serving to said browser data file header information including data type and data size (Albers, col. 1, lines 41-65; col. 5, lines 6-54); However, Albers does not explicitly disclose receiving from said browser a GET request; and thereafter responsive to said GET request, serving to said browser data corresponding to said header. Thus, the artisan would have been motivated to look into the related network art for potential methods and systems for implementing the servicing the browser user's requests for resources or objects over the Internet.

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In the same field of endeavor, Tso, related Scaling Proxy Server Sending TO The client A Graphic User Interface For Establishing Object Encoding Preferences After Receiving The Client's Request For The Object, discloses in an analogous art internet data access. Tso discloses the receiving the object request from the client and downloading a graphic user interface to the client in response to receiving the object request, the graphic user interface comprising a set of automatically executing instructions for requesting a scaling preference from a user of the client, receiving response from a user, and transmitting the user response to the server (Tso, col. 22, lines 2-8). Tso suggests that the system enables users to dynamically influence the trade-off between quality of content and download speed (Tso, abstract, col. 11, lines 43-65). Albers also suggests that in the case of hypermedia documents on the WWW, the system retrieves the information regarding the data file's size, its file type by performing httpd HEAD request; this request is similar to the request usually made to retrieve the hypermedia document to which the link points; however, instead of retrieving the entire hypermedia document, only basic information stored in the hypermedia document's header is returned; this saves the user the time and resources that actually downloading the hypermedia file would entail thus reducing network traffic (Albers, col. 5, lines 24-39).

Accordingly, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have incorporated Albers teachings of using HEAD request to efficiently provide information on hypermedia links without forcing the user actually download the information represented by those links (Albers, col. 2, lines 11-20)

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with the teachings of Tso, for the purpose of actively controlling the tradeoff between download time and content quality (Tso, col. 11, lines 44-65) and reducing network traffic and users' time and resources (Albers, col. 5, lines 24-39).

- 6. As to claim 2, Albers-Tso discloses a method for operating a client browser for requesting a data file from a server, comprising the steps of: receiving data parameters from a browser user; communicating to said server a HEAD request; receiving from said server in response to said HEAD request a data file header describing data file parameters; determining if said data file parameters are within said user data parameters; and if so communicating to said server a GET request requesting said server to serve said data file (Albers, Figs. 1-10; col. 3, lines 21-67; cols. 4-7, lines 1-67; col. 8, lines 1-40).
- 7. As to claim 3, Albers-Tso discloses said data parameters define the data type size acceptable to said user and wherein said data file parameters include the data content type and data content size of said data file (Albers, col. 1, lines 34-67; col. 2, lines 1-55).
- 8. As to claim 4, Albers-Tso discloses said data file comprises a plurality of data files including one or more inline documents (Tso discloses that another possibility is that enabled network 3 includes one or more add-ins 23 specifically configured to render or playback particular new MIME type generated by remote scaling server 1. Such add-ins 23 are beneficial in that they generally may be configured to permit a user to click on a specific object to obtain a different quality representation and are easy upgradeable (Tso, col. 14, lines 41-55; Albers, col. 2, lines 20-55).

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- 9. As to claim 5, Albers-Tso discloses each of said plurality of data files is of a type selected from the set of data file types including image data, video data, audio data, and text data (Tso, col. 2, lines 35-39; col. 6, lines 32-36; Albers, col. 14, lines 35-60).
- 10. As to claim 6, Albers-Tso discloses wherein a HEAD request is submitted separately for each said inline document (Albers, col. 2, lines 11-55; col. 5, lines 24-54).
- 11. As to claim 7, Albers-Tso discloses wherein said GET request is submitted selectively only for those inline documents having data parameters within said user parameters (Tso discloses that when network client 3 requests a hyperlink object, HTTP remote proxy 6 uses either GetObject() or GetScaledObject() call (depending on the network client 3 is capable of receiving scaled data types) to retrieve the hypertext object from encode manager 7, col. 9, lines 3-7; col. 6, lines 36-44); (Albers, Fig. 10; col. 8, lines 8-40).
- 12. As to claim 8, Albers-Tso discloses said data parameters include a maximum data size and a minimum data size acceptable to said user (Tso discloses that window 14 enables the user to change his or her preference as to whether scaled or original content is desired, col. 12, lines 20-22; Albers, Figs. 4-10; col. 5, lines 6-67; cols. 6-7, lines 1-67; col. 8, lines 1-40).
- 13. As to claim 9, Albers-Tso discloses, responsive to said data file parameters not being within said user data parameters, comprising the further step of providing to said user the option of modifying said user data parameters (Albers, Figs. 4-10; col. 5, lines 6-67; cols. 6-7, lines 1-67; col. 8, lines 1-40).

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14. As to claim 10, Albers-Tso discloses, responsive to said data file parameters not being within said user data parameters, comprising the further step of providing to said user the option of requesting a portion of said data file (Albers, Figs. 4-10; col. 5, lines 6-67; cols. 6-7, lines 1-67; col. 8, lines 1-40).

- 15. Claims 11, 12 recite a server system corresponding to the method of operations of claim 1. The server system claimed is obvious in that it simply follows the logical implementation of using the method indicated in the referenced claims to implement each of the functional operations of the operating server responsive to a request for data from a client browser which results from the reference discussed above regarding the claims to the method. Thus the server system described in claim 11, 12 would have been obvious in view of the elements provided in the reference that correspond to the steps implemented in the method for the same reason discussed above regarding claim 1.
- 16. Claim 13 recites a system (a client browser) corresponding to the method of operations of claim 2. The system claimed is obvious in that it simply follows the logical implementation of using the method indicated in the referenced claims to implement each of the functional operations of the operating client browser for requesting a data file from a server which results from the reference discussed above regarding the claims to the method. Thus the system described in claim 13 would have been obvious in view of the elements provided in the reference that correspond to the steps implemented in the method for the same reason discussed above regarding claim 2.

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## Claim Rejections - 35 USC § 103

- 17. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 18. Claims 14-17 are rejected under 35 U.S.C. 103(a) as being unpatentable over **Albers-Tso** in view of well known features of using computer program product stored on a computer readable medium.
- 19. As to claim 14, Albers-Tso discloses a program storage device readable by a machine tangibly embodying a program of instructions executable by a machine to perform method steps as of claim 2.

The Examiner takes **Official Notice** (see MPEP 2144.03) that it is well known in the networking art to utilize a computer readable medium for the storing and execution of the method and apparatus in order to a Form on the network. Therefore, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have included the use of a computer readable medium to store and execute the procedures of server operations because use of storage medium for programs used in general purpose computer to execute special purpose functions was routine in the art (Albers, cols. 1-24).

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20. As to claim 15, Albers-Tso discloses, an article of manufacture, tangibly embodying a program of instructions executable by a machine to perform method steps as of claim 2.

The Examiner takes Official Notice (see MPEP 2144.03) that it is well known in the networking art to utilize a program storage device readable by a machine for storing and execution of the method and system in order to adjust web display. Therefore, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have included the use of a computer readable medium to store and execute the procedures of client browser's operations because use of storage medium for programs used in general purpose computer to execute special purpose functions was routine in the art (Albers, cols. 1-24).

21. As to claim 16, Albers-Tso discloses, a computer program element for operating a client browser for requesting a data file from a server to perform method steps as of claim 2.

The Examiner takes Official Notice (see MPEP 2144.03) that it is well known in the networking art to utilize a program storage device readable by a machine for storing and execution of the method and system in order to adjust web display. Therefore, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have included the use of a computer readable medium to store and execute the procedures of client browser's operations because use of storage medium for programs used in general purpose computer to execute special purpose functions was routine in the art (Albers, cols. 1-24).

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22. As to claim 17, Albers-Tso discloses, a program storage device readable by the machine, tangibly embodying a program of instructions executable by a machine to perform method steps as of claim 1.

The Examiner takes Official Notice (see MPEP 2144.03) that it is well known in the networking art to utilize a program storage device readable by a machine for storing and execution of the method and system in order to serve web browser users' requests. Therefore, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have included the use of a computer readable medium to store and execute the computer programs of server operations because use of storage medium for programs used in general purpose computer to execute special purpose functions was routine in the art (Albers, cols. 1-24).

### Prior Art Of Record

23. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Earl et al. (US 6,041,324), Malkin et al. (US 6,085,193), Horbal et al. (US 6,112,246), Tso et al. (US 6,185,625 B1), Albers et al. (US 6,223,188 B1) are related to the web browser technology.

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#### Conclusion

24. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Hai V. Nguyen whose telephone number is 703-306-0276. The examiner can normally be reached on 7:00-3:30 Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark Rinehart can be reached on 703-305-4815. The fax phone numbers for the organization where this application or proceeding is assigned are 703-746-7240.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-305-3230.

Hai V. Nguyen Examiner Art Unit 2152 MEHMET B. GECKIL PRIMARY EXAMINER

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